

ENERGY STAR[®] Test Method for Small Network Equipment

Final Draft Rev. November-2012

1 1 OVERVIEW

The following protocol shall be followed when testing products for compliance with the Version 1.0
 ENERGY STAR Small Network Equipment (SNE) specification.

4 2 APPLICABILITY

Table 1.

5 ENERGY STAR test requirements are dependent upon the feature set of the product under evaluation.
6 The following guidelines shall be used to determine the applicability of each section of this document:

- Section 7.2.A) shall be conducted for all SNE products.
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Table 1: Test Procedure Structure

Sections 7.2.B), C), and D) shall be completed for applicable product types as referenced in

	Test Procedure Section					
Product Type	7.2.A) All Devices – Idle State	7.2.B) Wired Network – WAN	7.2.C) Wired Network – LAN	7.2.D) Wireless Network – LAN		
Modem (DSL, Cable, ONT)	Х	Х				
Integrated Access Device	Х	х	Х	Х		
Switch/Router	Х		Х	Routers with Wireless		
Access Point	Х			Х		

Note: The wireless product and wired/wireless product categories from Draft 1 have been replaced by an
 Access Point category.

13 **3 DEFINITIONS**

Unless otherwise specified, all terms used in this document are consistent with the definitions contained
 in the ENERGY STAR Eligibility Requirements for Small Network Equipment.

- 16 Acronyms referenced in this ENERGY STAR Test Method draft:
- 17 ANSI: American National Standards Institute

18	•	AP: Access Point
19	•	dB: Decibels
20	•	DHCP: Dynamic Host Configuration Protocol
21	•	DSL: Digital Subscriber Line
22	•	EIA: Electronic Industries Alliance
23	•	EPS: External Power Supply
24	•	HPNA: Home Phoneline Networking Alliance
25	•	IAD: Integrated Access Device
26	•	IEC: International Electrotechnical Commission
27	•	IEEE: Institute of Electrical and Electronics Engineers
28	•	IMIX: Internet Traffic Mix
29	•	IPsec: Internet Protocol Security
30	•	ISP: Internet Service Provider
31	•	L2TP: Layer 2 Tunneling Protocol
32	•	LAN: Local Area Network
33	•	LLDP: Link Layer Discovery Protocol
34	•	MAC: Media Access Control
35	•	MIMO: Multiple-Input/Multiple-Output
36	•	MoCA: Multimedia over Coax Alliance
37	•	NAT: Network Address Translation
38	•	ONT: Optical Network Terminal
39	•	PoE: Power over Ethernet
40	•	PON: Passive Optical Network
41	•	POTS: Plain Old Telephone Service
42	•	PPPoX: Point-to-Point Protocol over X
43	•	RF: Radio Frequency
44	•	SSID: Service Set Identifier
45	•	TIA: Telecommunications Industry Association
46	•	TTL: Time To Live
47	•	UDP: User Datagram Protocol
48	•	USB: Universal Serial Bus
49	•	UUT: Unit Under Test
50	•	VoIP: Voice over Internet Protocol
51	•	VPN: Virtual Private Network
52	•	WAN: Wide Area Network

• WLAN: Wireless Local Area Network

54 4 TEST SETUP

A) <u>Test Setup and Instrumentation</u>: Test setup and instrumentation for all portions of this procedure shall
 be in accordance with the requirements of IEC 62301, Ed. 2.0, "Household electrical appliances –
 Measurement of standby power", Section 4, "General Conditions for Measurements". In the event of
 conflicting requirements, the ENERGY STAR test method shall take precedence.

- B) <u>Input Power</u>: Input power shall be as specified in Table 2.
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Table 2: Input Power Requirements

Market	Voltage	Voltage Tolerance	oltage Total erance Harmonic Distortion		Frequency Tolerance
North America, Taiwan	115 Vac	+/- 1.0 %	2.0 %	60 Hz	+/- 1.0 %
Europe, Australia, New Zealand	230 Vac	+/- 1.0 %	2.0 %	50 Hz	+/- 1.0 %
Japan	100 Vac	+/- 1.0 %	2.0 %	50 Hz and 60 Hz	+/- 1.0 %

- 61 C) <u>Ambient Temperature</u>: Ambient temperature shall be from 18 °C to 28 °C.
- 62 D) <u>Relative Humidity</u>: Relative humidity shall be from 10% to 80%.
- 63 E) <u>Power Meter</u>: Power meters shall possess the following attributes:
- 64 1) <u>Crest Factor</u>: Capability to measure the current waveform without clipping.
- i) The peak of the current waveform measured during Idle State shall determine the crest factor
 rating requirement and the appropriate current range setting.
- 67 ii) The full-scale value of the selected current range multiplied by the crest factor for that range
 68 shall be at least 15% greater than the peak current.
- Bandwidth: Minimum bandwidth as determined by an analysis of current and voltage to determine
 the highest frequency component (harmonic) with a magnitude greater than 1% of the
 fundamental frequency under the test conditions.
- 72 3) Minimum Frequency Response: 3.0 kHz
- 73 4) Minimum Sampling Frequency: 60 Hz
- 74 5) <u>Minimum Resolution</u>:

- i) 0.01 W for measurement values less than 10 W;
- 76 ii) 0.1 W for measurement values from 10 W to 100 W; and
- 77 iii) 1.0 W for measurement values greater than 100 W.
- 78 6) <u>Measurement Accuracy</u>:
- i) Power measurements with a value greater than or equal to 0.5 W shall be made with an uncertainty of less than or equal to 2% at the 95% confidence level.
- 81 ii) Power measurements with a value less than 0.5 W shall be made with an uncertainty of less
 82 than or equal to 0.01 W at the 95% confidence level.

83 5 TEST CONDUCT

- A) <u>As-shipped Condition</u>: Products must be tested in their "as-shipped" configuration. For products that
 offer a choice of user-configurable options, all options shall be set to their default condition, unless
 otherwise specified in this test procedure.
- B) <u>Test Procedure Order</u>: All portions of this test method shall be followed in the order in which they are written.
- Bata Source/Transfer Requirements: A network traffic generator shall be used to simulate traffic and monitor link reliability. The generator shall be configured for the correct traffic topology and traffic
 profile, and as follows:
- 92 1) All data transfers shall occur via User Datagram Protocol (UDP);

93 2) The "data rate" is the average number of bits per second passing over a link in one direction.
 94 Data rates are expressed as the average number of bits found in UDP data frames passing over a link in a one second period;

- 3) The traffic generator shall be able to support the maximum theoretical data rate of the unit under test (UUT) with UDP traffic.
- 98 4) Test traffic shall contain random data in a variety of datagram (or frame) sizes based on an
 99 Internet traffic mix (IMIX) sent at random intervals. See references in Table 3 for more
 100 information;
- 101 5) Data shall be evenly split between both directions (transmission and reception) for a given link unless otherwise specified in this test procedure;
- 6) Port numbers for data traffic shall be randomly selected in advance of each test from the available pool of valid UDP ports. Once selected, port numbers shall not be changed for the duration of testing. If the selected port results in blocked traffic by a UUT firewall, select a different port at random before proceeding with the test.
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Table 3: Data Source/Transfer References

Reference	Description
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Reference	Description
http://spcprev.spirentcom.com/documents/4079.pdf	<u>Spirent</u> , Test Methodology Journal, IMIX (Internet Mix) Journal, March 2006
http://www.ixiacom.com/library/test_plans/display?s key=testing_pppox	IXIA Library: Test Plans, Broadband PPPoX and L2TP Testing

D) <u>Battery Operated Products</u>: For products designed to operate using batteries either when not connected to the mains or during a power disruption, the battery shall be fully charged before the start of testing and shall be left in place for the test. To ensure the battery is fully charged, perform the following steps:

- For UUTs that have an indicator to show that the battery is fully charged, continue charging for at least an additional 5 hours after the indication is present.
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 2) If there is no charge indicator, but the manufacturer's instructions provide a time estimate, continue charging for at least an additional 5 hours after the manufacturer's estimate.
- 116
 3) If there is no indicator and no time estimate in the instructions, but the charging current is stated on the UUT or in the instructions, terminate charging 1 hour after the calculated charge duration or, if none of the above applies, the duration shall be 24 hours.
- 119 Note: The language above is added to clarify steps that must be taken to ensure a fully-charged battery
 120 at the start of the test. It is aligned with similar provisions present in other ENERGY STAR Test Methods.

121 6 UUT CONFIGURATION

122 6.1 Supplied Power Configuration

- 123 A UUT that can be powered by either mains power or low-voltage dc shall be powered from the mains.
- Mains-powered: If the UUT is shipped with an external power supply (EPS), or powered directly
 by mains ac, power consumption of the UUT shall be measured and tested between the ac power
 source and the UUT.
- 127 2) Low-voltage Dc Powered : For products powered by standard low-voltage dc (e.g., Power over
 128 Ethernet (PoE) [IEEE 802.3af or .3at], or Universal Serial Bus (USB)), the following protocol
 129 applies:
- i) If a manufacturer-supplied low-voltage dc power supply is shipped with the UUT, it shall be used for testing.
- ii) If there is no power supply shipped with the UUT, a commercially available device (e.g., PoE Midspan or powered USB hub) shall be used to supply power to the UUT for testing. If the UUT manufacturer sells an appropriate standard low-voltage dc supply, then a model from the UUT manufacturer shall be used. The brand and model number of the power supply shall be recorded on the test data sheet. The selected power supply shall be considered the EPS for the unit for purposes of testing.

138 139 140 iii) Power consumption of the UUT shall be measured and tested between the ac power source and the low-voltage dc power supply as identified in Figure 1: Low-voltage Dc Power Setup (PoE shown as example).



165 6.3 Wireless UUT Configuration

166 The UUT shall be tested with wireless network settings in their default as-shipped configuration. Default 167 settings shall not be modified unless modification is necessary to complete this procedure, or if no default

168 setting exists. Any features that require special configuration to achieve intended function (e.g., initial

- 169 setup before use as indicated in a reference manual) shall be configured per the following requirements.
- 170 If additional required settings are not listed below, the setting type and option shall be recorded in the test 171 report.
- 172 1) <u>SSID</u>: As-shipped, or assigned a random value as required by the UUT;
- 173 2) <u>Network Encryption</u>: As-shipped, or 128-bit WPA2 as required by the UUT;
- 174 3) <u>Network Key</u>: As shipped, or assigned a random value as required by the UUT;
- 175 4) <u>Network Channel</u>: A supported channel shall be selected and maintained for the duration of testing;
- 177 5) <u>Interference Mitigation</u>: Interference robustness or other interference mitigation technology shall
 178 be as-shipped or set to "ON" if configuration required by UUT.
- 179 6) <u>Wireless Link Precedence</u>:
- i) Single instantaneous frequency band support: The first supported wireless standard and
 frequency band starting from the top of Table 4 shall be used for Access Point testing. Only
 one band shall be active during the test.

183 Table 4: Wireless Link Precedence - Single Instantaneous Frequency Band Support

Wireless Band	Frequency
IEEE 802.11ac	5 GHz (Maximum supported channel bandwidth)
IEEE 802.11n	5 GHz (Maximum supported channel bandwidth)
IEEE 802.11n	2.4 GHz (20MHz channel bandwidth)
IEEE 802.11g	2.4 GHz
IEEE 802.11b	2.4 GHz
IEEE 802.11a	5 GHz

184 ii) Simultaneous instantaneous frequency band support: The first supported pair of wireless
 185 standards and frequency bands starting from the top of Table 5 shall be used for access point
 186 testing.

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7 Table 5: Wireless Link Precedence - Simultaneous Instantaneous Frequency Band Support

Wireless Band 1	Frequency 1	Wireless Band 2	Frequency 2
IEEE 802.11n	2.4 GHz (20 MHz channel bandwidth)	IEEE 802.11ac	5 GHz (Maximum supported channel bandwidth)

Wireless Band 1	Frequency 1	Wireless Band 2	Frequency 2
IEEE 802.11n	2.4 GHz (20 MHz channel bandwidth)	IEEE 802.11n	5 GHz (Maximum supported channel bandwidth)
IEEE 802.11g	2.4 GHz	IEEE 802.11n	5 GHz (Maximum supported channel bandwidth)
IEEE 802.11g	2.4 GHz	IEEE 802.11a	5 GHz
IEEE 802.11b	2.4 GHz	IEEE 802.11a	5GHz

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iii) Alternative configurations: If a device cannot support any configuration listed in either Table 4 (for support of a single instantaneous frequency band) or Table 5 (for support of multiple instantaneous frequency bands), the test client shall provide a configuration. The configuration shall be recorded in the test report.

192 Note: Table 4 and Table 5 above have been revised to clarify appropriate channel bandwidths and
 193 address the inclusion of 802.11ac.

194 6.4 UUT Wired Network Settings

The UUT shall be tested with wired network settings in their default as-shipped configuration. Default settings shall not be modified, unless otherwise specified in this test method or if no default setting exists. Any features that require configuration prior to the UUT functioning (e.g., required setup before use as indicated in a reference manual) shall be configured per the following requirements. If additional required settings are not listed below, the setting type and option shall be recorded in the test report.

- 200 1) Enable Network Address Translation (NAT) for IPv4 networks;
- 201 2) Enable IPv6 Link Local, Neighbor Solicitation, Neighbor Discovery, Router Solicitation and Router
 202 Advertisement;
- 203 3) Enable Single Class C Subnet;
- 4) Enable single hop (router TTL + 1) to source on WAN side;
- 5) Enable DHCP, if available, and have the UUT autonomously assign each configured test client an address by the DHCP service in the router, or manually assign addresses in a manner typical of DHCP (e.g., incremental, 3 day TTL); the WAN port shall be configured via DHCP or manually assigned if DHCP is not supported;
- 209 6) Disable Internet Protocol Security (IPsec);
- For any wired network interface connected for testing as required by this Test Method, the
 interface shall be configured in full compliance with the relevant published or draft standard
 governing the technology (e.g., all features comply with IEEE 802.3);

If the UUT offers more than one WAN connection option, the UUT shall be configured using the first available WAN connection presented in

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222	Table 6: WAN Link Precedence
221	
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218	9) The WAN connection shall be configured to operate at the maximum possible speed.
217	8) Table 6, from top to bottom. Only a single WAN port shall be connected.
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Connection Type	Media Type
DOCSIS (Cable)	Соах
PON	Fiber
DSL	Copper (Twisted Pair)
Ethernet (IEEE 802.3)	Copper (Twisted Pair)

Note: MoCA, HPNA and WiMAX (802.16e) connection types have been removed from Table 6, due to
 limited data and inability to test them in the current test method. These protocols should be turned off
 during testing.

226 6.5 UUT Preparation

- 227 The UUT shall be configured for testing as follows.
- 1) <u>Test Report</u>: Record the manufacturer and model name of the UUT. Record all basic information about the UUT's configuration including, but not limited to, the settings listed Sections 6.1 through 6.4.
- 231 2) <u>Network Connection</u>: Connect the UUT to network resources as follows:
- i) Modem (DSL, Cable, or ONT): See Figure 2: Modem setup.



253 (a.) Access Points: Connect the uplink Ethernet port to the test source at the highest 254 available link rate and ensure that live links are maintained for the duration of testing. (b.) IADs: Ensure a WAN port is connected according to the priority specified in Section 6.4 255 and ensure that live links are maintained for the duration of testing. Connect the first 256 Ethernet port to the test source at the highest available link rate. Traffic for this test will 257 258 pass over the Ethernet link and not the WAN link. 259 (c.) Wireless UUTs: (see Figure 4: AP setup with fixed antennas.). 260 (i.) Place the UUT inside a shielded enclosure large enough to fit the UUT without contact with enclosure walls. The enclosure must have sufficient RF absorbing 261 material lining all inside surfaces and also have sufficient RF, Ethernet, and power 262 263 feed-throughs to service the UUT. 264 (ii.) Connect antennas to the RF feed-throughs on the inside of the enclosure. 265 (i.) Connect cables to the exterior feed-throughs via appropriate RF attenuators to achieve a signal strength of -50 dBm ± 5 dB. The test client transmit power shall be 266 267 set to ensure that the received signal strength at the UUT is $-50 \text{ dBm} \pm 5 \text{ dB}$, with received signal strength measured within the bandwidth of interest. Use of RF test 268 equipment (e.g., spectrum analyzer) to determine the appropriate use of attenuation 269 is recommended. The model names and numbers of equipment used shall be 270 271 recorded. 272 (ii.) If the UUT has multiple antennas for a single band, an appropriate number of cables and antennas shall be connected to achieve the maximum supported data rate (i.e., 273 274



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- Figure 4: AP setup with fixed antennas.
- (d.) If the UUT requires an access point controller for normal operation, an access point controller for mormal operation, an access point controller from the same manufacturer as the UUT shall be added to the network for testing. If the UUT is capable of full operation without an access point controller, it shall be tested without a controller on the test network.

- (e.) Record sufficient details of the test setup to allow for the test to be independently re created and verified.
- 284 3) Power Meter Connection:
- i) Connect the power meter(s) to an ac voltage source set to the appropriate voltage and
 frequency for the test.
- 287 ii) Plug the UUT into the measurement power outlet on the power meter, as follows:
- (a.) No other devices (e.g., power strips or UPS units) may be connected between the meter
 and the UUT;
 - (b.) If the UUT uses an EPS, the EPS is considered part of the UUT. Plug the EPS input into the measurement power outlet on the meter;
 - (c.) The power meter shall remain connected until all testing is complete.

293 6.6 Test Client Setup

The tests outlined in Section 7 require the use of network tester equipment (the test client) capable of supporting the protocols used during testing. The test client may consist of several discrete pieces of test equipment used together to test Ethernet, WAN, and wireless links. This section is intended to provide guidelines for test client configuration to be applied to the specific pieces of equipment serving the UUT.

- 298 1) Configure the test client Ethernet ports to be DHCP clients with unique, random MAC addresses.
- 2) Configure the WAN port or uplink Ethernet port to assign a random IPv4 address to the UUT. A
 300 static IPv4 address may be set in the UUT if the test client is unable to support random address
 301 assignment. IPv6 may be used if IPv4 support is not present in the test client hardware. If the
 302 UUT is configured for DHCP pass-through functionality, the test client shall assign addresses
 303 through the UUT.
- 304 3) Configure the test client to send traffic using UDP.
- 305
 4) Configure the test client to provide statistics on data reliability (% of packets received successfully).
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Datagram Size (IP Length in Bytes)	Frame Length (Bytes)	% of total packets
40	64	61%
576	594	23%
1500	1518	16%

Table 7: IMIX Packet Length Distribution

Configure the test client to test in a modified aggregation mode. All traffic will pass over a single
 link (the uplink or WAN port), and this traffic will be evenly divided between the other connected
 Ethernet ports. See Figure 5: Data distribution for multilink tests.



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Equation 1

traffic as specified in this procedure. In this case the traffic rate should be set to 50 Mb/s.

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 $DataRate = Z \times 10^{Y}$ (in bits per second)

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Direction	Rate (Mb/s)								
Downlink or Symmetric link	1.0	2.0	5.0	10	20	50	100	200	500
Uplink	0.5	1.0	2.0	5.0	10	20	50	100	200

• Y is an integer. Adjust as required to achieve the desired data

Table 8: Test Rate Selection

339 B) If there is a port on the UUT identified as the uplink or WAN port, it shall be selected as the uplink port 340 in Section 7.2.C). Otherwise, the first port shall be used as the uplink port. If present, additional 341 Ethernet ports shall be connected sequentially, and there shall be no open Ethernet ports between 342 occupied Ethernet ports.

343 C) If any standard power reduction mechanisms are supported by the UUT, such features may be enabled during testing in both the UUT and test equipment, provided that all enabled features are 344 disclosed along with reported test results. 345

346 D) The following procedure shall be used for each test component in Section 7.2:

Where:

• Z is 1. 2. or 5. and

- 347 1) Reset the power meter (if necessary).
- 348 2) Begin recording elapsed time.
- 3) After 5 minutes have elapsed, set the meter to begin accumulating true power values at a rate of 349 greater than or equal to 1 Hz (1 reading per second). 350
- 4) Accumulate power values for 5 minutes and record the average (arithmetic mean) value observed 351 352 during the 5 minute period.
- 353 5) Record the test procedure step and measurements on the test report. If a step is repeated at a 354 different link rate, record the additional measurements in the test report.

355 7.2 **Power Consumption Tests**

- 356 A) All Devices – Idle State
- 1) Turn on the UUT and configure the UUT per the requirements in Section 6. 357
- 358 2) Measure and record UUT power per Section 7.1.
- B) Wired Network WAN 359
- 360 1) If the UUT only supports WAN connection (IADs only), connect one Ethernet port. Ensure all 361 Ethernet ports are connected at their highest supported link rate. Measure and record power per 362 Section 7.1.
- 363 2) Run data at 1 kb/s (0.5 kb/s in each direction) between the WAN and LAN ports. Measure and 364 record power per Section 7.1.

- 365 3) Run data at the rate specified in Section 7.1 between the WAN and LAN ports. Measure and
 366 record power per Section 7.1.
- 367 C) Wired Network LAN:
- 368 1) <u>Half-Ports Test</u>: Test with half of ports in use, at all supported speeds, as applicable. See Figure
 369 5: Data distribution for multilink tests.
- i) If the UUT has more than two Ethernet ports, connect half of the Ethernet ports (round up to the nearest whole number of ports). Connect each port sequentially (e.g., a 5-port product would have ports 1-3 connected and ports 4 and 5 disconnected). Ethernet and other LAN ports on the UUT must be connected at their highest supported link rate. If a port on the UUT is specified as the uplink port, it shall be used as the uplink port for testing; otherwise, the first port shall be used as the uplink port. Measure and record power per Section 7.1.
- ii) Run data at 1 kb/s (0.5 kb/s in each direction) between the LAN ports. Measure and record
 power per Section 7.1.
- 378 iii) Run data at the rate specified in Section 7.1 between the LAN ports. Measure and record
 379 power per Section 7.1.
- 380 D) Wireless Network WLAN
- 381 1) Ensure only one Ethernet port is connected to the UUT.
- 382 2) Establish a single client device in the test client. The wireless local area network (WLAN) type
 383 must be consistent with the priority specified in Section 6.3 and shall be configured for the highest
 384 supported link rate. Record the supported rate for the network port, the wireless link, and the
 385 version of 802.11 being used for this test. Measure and record power per Section 7.1.
- 386
 3) Run data at 1 kb/s (0.5 kb/s in each direction) between the LAN port and the WLAN client.
 387 Measure and record power per Section 7.1.
- 388
 389
 4) Run data at the rate specified in Section 7.1 between the LAN port and the WLAN client. Measure and record power per Section 7.1.

390 8 PERFORMANCE EVALUATION

391 Note: In response to Stakeholder feedback, the optional performance reporting criteria previously
 392 appearing in this section are moved to the ENERGY STAR Specification, where they now appear as
 393 optional reporting criteria.

394 **9 REPORTING**

- 395 9.1 Reported UUT information and Functionality
- 396 The following characteristics are recommended for reporting using this procedure:
- 397 1. Manufacturer and model name;
- 398 2. Basic configuration information;
- Powering options (e.g., direct ac, external ac-dc power supply, standard low-voltage dc). If
 powered over Ethernet, report whether LLDP for PoE is supported;

401 402	4.	Number and type of all wired data and network ports. Additional related details (e.g., Ethernet speed, 802.3az, LLDP for 802.3az);
403 404	5.	Number and type of wireless network support including supported bands, simultaneous band support, supported standards, and MIMO configuration. Additional details as required;
405	6.	Supported network traffic functions (e.g., firewall, VPN, VOIP functionality for POTS ports);
406	7.	Mass storage options integral to or shipped with the UUT;
407	8.	Any special equipment ratings (e.g., IEC 61850 / IEC61000 and IEEE1613, KEMA).
408	9.	Ethernet throughput - Maximum data rate in UDP data payload (expressed in b/s);
409	10.	Maximum wireless clients;
410	11.	Maximum NAT clients.
411 412	Note: Ethern Section 9.2 t	et throughput, Maximum wireless clients, and Maximum NAT clients have been moved from o Section 9.1as they are no longer required to be reported.
413	9.2 Repo	rted Test Results
414	1.	Voltage and frequency used in test;
415	2.	If low-voltage dc, type of supply used;
416	3.	Wireless Link information from Table 4 or Table 5;
417	4.	Any settings changed per Section 6.4;
418	5.	Uplink link rate;
419	6.	Uplink and downlink data rates;
420	7.	Power levels - Report all that apply:
421		One port: Idle State, low data rate, high data rate;
422		Half ports: Idle State, low data rate, high data rate;
423		• Wireless: Idle State, low data rate, high data rate;
424	10 TEST	CONFIGURATION REFERENCES
425		
426		
		Antenna
		Ort Unoccupied
		Etherhet
		——————————————————————————————————————
		-X dB RF Attenuator
	ENERGY STAF	Figure 6: Legend for all figures.